



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/702,318	10/31/2000	Elizabeth T. Whitaker	9028

James M Stover  
NCR Corporation  
101 West Schantz ECD-2  
Dayton, OH 45479-0001

**FORMALITIES LETTER**

\*OC000000005659575\*

Date Mailed: 01/08/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a):

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/702,318

504573

504573

504573

504573

504573

504573



SECTOR \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 9028

NOTICE TO FILE MISSING  
PARTS OF APPLICATION

Application of

FILING DATE GRANTED

Elizabeth T. Whitaker, et al.

Serial No. 09/702,318

Filed: October 31, 2000

For: Active Learning Framework

Assistant Commissioner for Patents

Washington, D.C. 20231

Attn: Application Division

Sir:

In response to the above-named Notice dated January 8, 2001, relative to the above-named application, Applicant hereby submits signed formal application papers and an assignment for recording to complete the file and authorize the following fees from NCR Corporation Deposit Account No. 50-1673:

1. Surcharge for Incomplete Application	\$130.00
2. Additional claim fee	0.00
Total Charge	\$130.00

A copy of said Notice is included herewith.

Examination on the merits is respectfully requested.

Respectfully submitted,

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231, on

Sallie Spicer  
March 7, 2001  
Date

James M. Stover  
Attorney for Applicant(s)

Dayton, Ohio  
(937) 445-7663